

Practitioner's Docket No. 12636-267



*MPH*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Redkar

Group No.: 2862

Serial No.: 10/080,530

Examiner: Not Yet Assigned

Filed: February 21, 2002

For: Compositions and Formulations of 9-Nitrocamptothecin Polymorphs and Methods of Use Thereof

Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 19, 2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SUBSTITUTE DRAWINGS

- IV. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$740.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$180.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$672.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40.00</u>
6. Total completion fees	\$1,762.00

EXTENSION OF TIME

- V. Applicant believes that an extension of term is required. . Fee Due: \$110.00

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Sanjeev Redkar, Union City, CA; Ashok Gore, San Ramon, CA
2. Priority Claim	-
3. Entity status	- LARGE

TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$1,762.00 Extension of Time Fees \$110.00 Corrected Filing Receipt Fees \$0  
TOTAL FEE DUE \$1,872.00

PAYMENT OF FEES

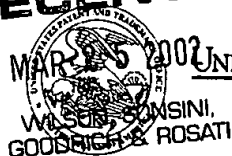
- VIII. Charge Account No. 23-2415 (12636-267) in the amount of \$1,872.00.  
Please charge Account No. 23-2415 (12636-267) for any fees that may be due by this paper.

05/29/2002 CCHAU1 00000045 232415 10080530

05 FC:115 110.00 CH

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650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

*U.P. Peter Eng*  
U.P. Peter Eng, Reg. No. 39,666

**RECEIVED**

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/080,530	02/21/2002	Sangeev Redekar	12636-267

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050



CONFIRMATION NO. 8656

FORMALITIES LETTER



\*OC000000007669779\*

Date Mailed: 03/19/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$426.
  - \$90 for 10 total claims over 20.
  - \$336 for 8 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 861.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/29/2002 CCHAU1 00000045 232415 10080530

01 FC:101	740.00 CH
02 FC:103	180.00 CH
03 FC:102	672.00 CH
04 FC:105	130.00 CH

Seng OK. Large



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/080,530	02/21/2002	2862	0.00	12636-267	29	30	11

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 94304 1050**CONFIRMATION NO. 8656****FILING RECEIPT**

\*OC000000007669778\*

Date Mailed: 03/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**[Sangeev Redekar, Residence Not Provided;] -- SANJEEV REDKAR, UNION CITY, CA --  
-- ASHOK GORE, SAN RAMON, CA --**Domestic Priority data as claimed by applicant****Foreign Applications****If Required, Foreign Filing License Granted 03/18/2002****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**LARGE**  
**\*\* SMALL ENTITY \*\*****Title**

Compositions and formulations of 9-nitrocamptothecin polymorphs and methods of use therefor

**Preliminary Class**

324

**LICENSE FOR FOREIGN FILING UNDER**